

# MA227 Surface Integrals

## Parametrically Defined Surfaces

We discussed earlier the concept of  $\iint_S f(x, y, z) ds$  where  $S$  is given by  $z = \mathcal{G}(x, y)$ . We had

$$\iint_S f ds = \iint_R f(x, y, \mathcal{G}(x, y)) [1 + \mathcal{G}_x^2 + \mathcal{G}_y^2]^{\frac{1}{2}} dA$$

where  $R$  is the projection of  $S$  onto the  $x, y$  - plane. We shall now develop a generalization of this concept.

There are three common ways of defining a surface:

I.

$$z = \mathcal{G}(x, y) \tag{1}$$

as above. Here  $\mathcal{G}$  must be a single-valued, continuous function defined on a region of the plane.

II. Often surfaces are represented by equations of the form

$$F(x, y, z) = 0 \tag{2}$$

If  $(x_0, y_0, z_0)$  is a point on such a surface, we can in many cases represent the portion of the surface near  $(x_0, y_0, z_0)$  in a form analogous to (1) by solving (2) for  $x, y$ , or  $z$  in terms of the other two variables.

III. It is frequently convenient to describe a surface by a *parametric* representation.

Example:

$$x = a \sin u \cos v \quad y = a \sin u \sin v. \quad z = a \cos u$$

Here  $u$  and  $v$  are independent parameters. This represents a sphere whose equation is

$$x^2 + y^2 + z^2 = a^2$$

This equation is gotten by elimination of  $u$  and  $v$ . Note that  $u$  and  $v$  are the spherical coordinates  $\phi$  and  $\theta$  respectively.

The set of equations

$$x = x(u, v) \quad y = y(u, v) \quad z = z(u, v) \tag{3}$$

where  $u$  and  $v$  are parameters represents an arbitrary surface. This can be seen by eliminating  $u$  and  $v$  from (3), a procedure that leads to an equation of the form  $F(x, y, z) = 0$  which is case II.

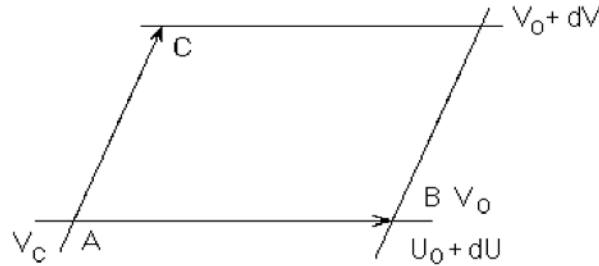
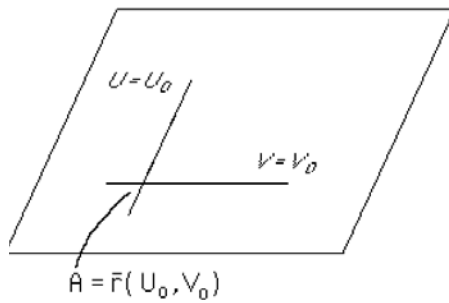
In terms of the radius vector  $\vec{r} = x\vec{i} + y\vec{j} + z\vec{k}$  equation (3) for the surface may be written as

$$\vec{r} = \vec{r}(u, v) = x(u, v)\vec{i} + y(u, v)\vec{j} + z(u, v)\vec{k}$$

From the parametric equations for a surface it is possible to establish a formula for  $ds$ , the element of surface area. In general,  $ds$  is obtained by calculating the area between the curves corresponding to:

$$u = u_0, \quad u = u_0 + du, \quad v = v_0 \text{ and } v = v_0 + dv.$$

For infinitesimal areas this element will be essentially planar and have area  $ds = |\vec{AB} \times \vec{AC}|$ , where the vectors are the sides of the differential parallelogram shown in the diagram.



$$A = \vec{r}(u_0, v_0)$$

$$B = \vec{r}(u_0 + du, v_0) = \vec{r}(u_0, v_0) + \frac{\partial \vec{r}}{\partial u}(u_0, v_0)du + \dots$$

$$C = \vec{r}(u_0, v_0 + dv) = \vec{r}(u_0, v_0) + \frac{\partial \vec{r}}{\partial v}(u_0, v_0)dv + \dots$$

Thus

$$\vec{AB} = \frac{\partial \vec{r}}{\partial u} du \quad \vec{AC} = \frac{\partial \vec{r}}{\partial v} dv$$

$\Rightarrow$

$$ds = \left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| du dv$$

Hence, in general, we have for a surface given by

$$x = x(u, v) \quad y = y(u, v) \quad z = z(u, v)$$

that

$$\iint_S f(x, y, z) ds = \iint_G f(u, v) |\vec{r}_u \times \vec{r}_v| du dv,$$

where  $G$  is the image of the surface  $S$  in the  $u, v$ -plane.

Suppose the surface  $S$  is given by the representation  $z = \mathcal{G}(x, y)$  (case I). Let

$$x = u, \quad y = v \Rightarrow z = \mathcal{G}(u, v)$$

Then

$$\vec{r}(u, v) = u\vec{i} + v\vec{j} + \mathcal{G}(u, v)\vec{k}$$

also represents the surface. Thus

$$\vec{r}_u = \vec{i} + \mathcal{G}_u \vec{k}; \quad \vec{r}_v = \vec{j} + \mathcal{G}_v \vec{k};$$

and

$$\vec{r}_u \times \vec{r}_v = \vec{k} - \mathcal{G}_u \vec{i} - \mathcal{G}_v \vec{j}$$

so

$$ds = |\vec{r}_u \times \vec{r}_v| du dv = [1 + \mathcal{G}_u^2 + \mathcal{G}_v^2]^{\frac{1}{2}} du dv$$

But since  $u = x, v = y$  we get

$$ds = [1 + \mathcal{G}_x^2 + \mathcal{G}_y^2]^{\frac{1}{2}} dx dy$$

as before.

### Example

We shall find the surface area of a sphere of radius  $a$  centered at the origin. The equation of the sphere is

$$x^2 + y^2 + z^2 = a^2$$

In spherical coordinates the sphere is given by

$$x = a \sin u \cos v \quad y = a \sin u \sin v \quad z = a \cos u$$

$\Rightarrow$

$$\vec{r} = a \sin u \cos v \vec{i} + a \sin u \sin v \vec{j} + a \cos u \vec{k}$$

Hence

$$\vec{r}_u \times \vec{r}_v = a^2(\sin^2 u \cos v \vec{i} + \sin^2 u \sin v \vec{j} + \sin u \cos u \vec{k})$$

⇒

$$|\vec{r}_u \times \vec{r}_v| = a^2 \sin u$$

and

$$ds = a^2 \sin u \, du \, dv$$

Thus

$$\iint_S ds = \iint_S a^2 \sin u \, du \, dv = \int_0^{2\pi} \int_0^\pi a^2 \sin \phi \, d\phi \, d\theta = 4\pi a^2 = \text{surface area of a sphere.}$$

## Surface Elements

Suppose that  $R$  is a closed rectangular region in the  $u, v$  – plane, where  $a \leq u \leq b$ ,  $c \leq v \leq d$ . Then the equations  $x = x(u, v)$ ,  $y = y(u, v)$ ,  $z = z(u, v)$ , where  $x, y, z$  are continuous, define a set  $S$  which is part of a surface in  $x, y, z$  – space. If the functions  $x, y, z$  are also 1-1, i.e. distinct points of  $R$  are not mapped into the same point of  $S$ , then the points of  $S$  in  $x, y, z$  – space comprise a *simple surface element*. A simple surface element may be thought of as any configuration which may be obtained from a rectangular plane region by continuous deformation (bending, twisting, stretching, shrinking) without tearing and without bringing together any points which were originally distinct.

If  $S$  is a simple surface element corresponding to a rectangular region  $R$  in the  $u, v$  – plane, the points of  $S$  which correspond to the boundary of  $R$  form what is called the boundary  $S$ . Other points of  $S$  are called interior points.

All surfaces may be thought of as being built up out of simple surface elements by matching together portions of the edges of the elements. The *boundary* of a surface consists of the *unmatched* edges of its surface elements. If there are no unmatched edges, there is no boundary. For example, a hemisphere has a boundary consisting of its equatorial rim. An entire sphere, an ellipsoid, and the surface of a cube are examples of surfaces without boundary.

A surface is smooth if the functions which parametrize it are continuously differentiable. If a surface is smooth and has no boundary, it is called a *smooth surface without boundary*. If a surface is given by  $F(x, y, z) = 0$ , then the surface is smooth without boundary if  $\nabla F \neq 0$  for all  $x, y, z$  on the surface.

Example: Consider the surface

$$F(x, y, z) = 4x^2 + 9y^2 - 2z^2 - 8 = 0$$

Then

$$\nabla F = 8x\vec{i} + 18y\vec{j} - 4z\vec{k}$$

and  $\nabla F = 0 \Rightarrow x = y = z = 0$ . But  $(0, 0, 0)$  is not on this surface.  $\Rightarrow F$  is smooth without boundary.

## Surface Integrals

### Example

Evaluate  $\iint_S f(x, y, z) ds$  where  $f = x^2$  and  $S$  is the part of the cone

$$z^2 = x^2 + y^2$$

between the planes  $z = 1$  and  $z = 2$ .

We shall use spherical coordinates

$$x = \rho \sin \phi \cos \theta, y = \rho \sin \phi \sin \theta, z = \rho \cos \phi.$$

In spherical coordinates the equation of the cone is  $\phi = \frac{\pi}{4}$ . Letting  $u = \theta$ ,  $v = \rho \Rightarrow$  we have for  $x, y$ , and  $z$  on the surface of the cone that

$$x(u, v) = x(\theta, \rho) = \frac{\sqrt{2}}{2} \rho \cos \theta; y(u, v) = y(\theta, \rho) = \frac{\sqrt{2}}{2} \rho \sin \theta; z = \frac{\sqrt{2}}{2} \rho$$

where  $0 \leq \theta \leq 2\pi$  and  $1 \leq z \leq 2 \Rightarrow \sqrt{2} \leq \rho \leq 2\sqrt{2}$

$\Rightarrow$

$$\vec{r} = x\vec{i} + y\vec{j} + z\vec{k} = \frac{\sqrt{2}}{2} \rho \cos \theta \vec{i} + \frac{\sqrt{2}}{2} \rho \sin \theta \vec{j} + \frac{\sqrt{2}}{2} \rho \vec{k}$$

$\Rightarrow$

$$\vec{r}_u = \vec{r}_\theta = -\frac{\sqrt{2}}{2} \rho \sin \theta \vec{i} + \frac{\sqrt{2}}{2} \rho \cos \theta \vec{j}$$

$\Rightarrow$

$$\vec{r}_v = \vec{r}_\rho = \frac{\sqrt{2}}{2} \cos \theta \vec{i} + \frac{\sqrt{2}}{2} \sin \theta \vec{j} + \frac{\sqrt{2}}{2} \vec{k}$$

$\Rightarrow$

$$\vec{r}_\theta \times \vec{r}_\rho = \frac{1}{2} \rho [\cos \theta \vec{i} + \sin \theta \vec{j} - \vec{k}] \quad \text{and} \quad |\vec{r}_\theta \times \vec{r}_\rho| = \frac{\sqrt{2}}{2} \rho$$

$$\begin{aligned} \iint_S x^2 ds &= \int_{\sqrt{2}}^{2\sqrt{2}} \int_0^{2\pi} \left( \frac{1}{2} \rho^2 \cos^2 \theta \right) \left( \frac{\sqrt{2}}{2} \rho \right) d\theta d\rho \\ &= \frac{\sqrt{2}}{8} \int_{\sqrt{2}}^{2\sqrt{2}} \rho^3 \left( \theta + \frac{\sin 2\theta}{2} \right) \Big|_0^{2\pi} d\rho = \frac{15}{4} \sqrt{2} \pi \end{aligned}$$

### Example

Evaluate the integral of

$$f(x, y, z) = (x^2 + y^2)z$$

over the upper half of the sphere of radius 1 centered at the origin.

We shall use spherical coordinates to parametrize the hemisphere. Since  $\rho = 1$ , we have

$$x(\phi, \theta) = \sin \phi \cos \theta, \quad y(\phi, \theta) = \sin \phi \sin \theta, \quad z(\phi, \theta) = \cos \phi$$

Thus

$$\vec{r}(\phi, \theta) = \sin \phi \cos \theta \vec{i} + \sin \phi \sin \theta \vec{j} + \cos \phi \vec{k}$$

where  $0 \leq \phi \leq \frac{\pi}{2}$  and  $0 \leq \theta \leq 2\pi$ .

Then

$$\vec{r}_\theta(\phi, \theta) = -\sin \phi \sin \theta \vec{i} + \sin \phi \cos \theta \vec{j}$$

$$\vec{r}_\phi(\phi, \theta) = \cos \phi \cos \theta \vec{i} + \cos \phi \sin \theta \vec{j} - \sin \phi \vec{k}$$

Hence

$$|\vec{r}_\theta \times \vec{r}_\phi| = \sin \phi$$

Therefore

$$\begin{aligned} \iint_S f(x, y, z) ds &= \int_0^{2\pi} \int_0^{\frac{\pi}{2}} (\sin^2 \phi \cos^2 \theta + \sin^2 \phi \sin^2 \theta) \cos \phi \sin \phi d\phi d\theta \\ &= \frac{\pi}{2} \end{aligned}$$

Remark: Very often one is interested in an integral of the form

$$\iint_S \vec{F} \cdot \vec{n} ds$$

where  $\vec{n}$  is a unit normal (perpendicular) vector to the surface  $S$  pointing in the outward direction. From the discussion above it follows that the vectors  $\vec{r}_u$  and  $\vec{r}_v$  are both in the “plane” of the surface. Thus  $\vec{r}_u \times \vec{r}_v$  is  $\perp$  to the surface  $S$ . Hence

$$\pm \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|} \text{ is a unit normal.}$$

We choose the appropriate sign (either + or -) which makes this unit vector outward. One can select an appropriate point on the surface and see if  $+\frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|}$  is inward or outward.

If it is inward, then use  $-\frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|}$ .

Note that

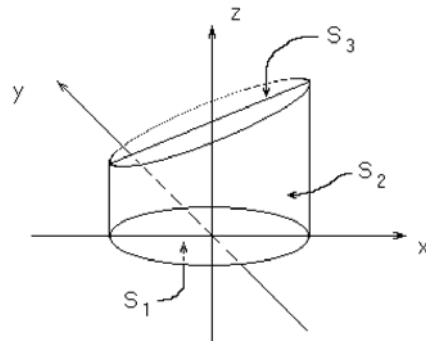
$$\begin{aligned} \iint_S \vec{F} \cdot \vec{n} ds &= \iint_D \vec{F} \cdot \left( \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|} \right) (|\vec{r}_u \times \vec{r}_v|) dudv \\ &= \iint_D \vec{F} \cdot (\vec{r}_u \times \vec{r}_v) dudv \end{aligned}$$

Thus, unless one is asked specifically for the unit vector  $\vec{n}$ , it is not necessary to calculate  $|\vec{r}_u \times \vec{r}_v|$ .

**Example**

Let  $R$  be the region bounded by the cylinder  $x^2 + y^2 = 1$  and the planes  $z = 0$  and  $z = x + 2$ . Let  $S$  be the entire boundary of  $R$ . Find the value of  $\iint_S \vec{F} \cdot \vec{n} ds$  where  $\vec{n}$  is the outward directed unit normal on  $S$  and

$$\vec{F} = 2x\vec{i} - 3y\vec{j} + z\vec{k}.$$



Now  $S$  is composed of  $S_1, S_2$ , and  $S_3$ .

On  $S_1$   $\vec{n} = -\vec{k} \Rightarrow \vec{F} \cdot \vec{n} = -z$ . But on  $z = 0$  on  $S_1 \Rightarrow \vec{F} \cdot \vec{n} = 0 \Rightarrow$

$$\iint_{S_1} \vec{F} \cdot \vec{n} ds = 0$$

On  $S_3$   $z = x + 2 \Rightarrow$  we parametrize as  $x = u \quad y = v \quad z = u + 2$

$$\vec{r} = x\vec{i} + y\vec{j} + z\vec{k} = u\vec{i} + v\vec{j} + (u + 2)\vec{k}$$

$$\vec{r}_u = \vec{i} + \vec{k} \quad \vec{r}_v = \vec{j} \quad \Rightarrow \quad \vec{r}_u \times \vec{r}_v = \vec{k} - \vec{i}$$

This is outer

$\Rightarrow$

$$\vec{F} \cdot (\vec{r}_u \times \vec{r}_v) = -2x + z = -2u + u + 2 = -u + 2$$

so that

$$\iint_{S_3} \vec{F} \cdot \vec{n} ds = \iint_G (-u + 2) dudv$$

Where  $G$  is the projection of  $S_3$  in the  $u, v$  - plane. But since  $u = x$ ,  $v = y$  and the plane  $z = x + 2$  slices the cylinder  $x^2 + y^2 = 1$ , we see that  $G$  is the interior of the circle  $x^2 + y^2 \leq 1$ . Thus on  $S_3$  we have

$$\begin{aligned}
\iint_{S_3} \vec{F} \cdot \vec{n} ds &= \iint_{x^2+y^2 \leq 1} (-x+2) dA \\
&= -\int_0^{2\pi} \int_0^1 r \cos \theta r dr d\theta + 2 \iint_{x^2+y^2 \leq 1} dA \\
&= -\frac{1}{3} \int_0^{2\pi} \cos \theta d\theta + 2\pi = 2\pi
\end{aligned}$$

On  $S_2$  we shall use cylindrical coordinates  $x = r \cos \theta$   $y = r \sin \theta$   $z = z$   
Since our cylinder is  $x^2 + y^2 = 1 \Rightarrow r = 1 \Rightarrow$

$$\vec{r} = \cos \theta \vec{i} + \sin \theta \vec{j} + z \vec{k}$$

where  $0 \leq z \leq x+2 = \cos \theta + 2$ , and  $0 \leq \theta \leq 2\pi$ .

Taking  $u = \theta$   $v = z$  here, we have

$$\vec{r}_\theta = -\sin \theta \vec{i} + \cos \theta \vec{j} \quad \vec{r}_z = \vec{k}$$

$\Rightarrow$

$$\vec{r}_\theta \times \vec{r}_z = \cos \theta \vec{i} + \sin \theta \vec{j} \Rightarrow |\vec{r}_\theta \times \vec{r}_z| = 1$$

Thus we may use  $\vec{N} = \cos \theta \vec{i} + \sin \theta \vec{j}$ . This vector is outward, since  $\theta = 0^\circ$  gives  $\vec{n} = \vec{i}$ .

$$\vec{F} \cdot \vec{N} = (2 \cos \theta \vec{i} - 3 \sin \theta \vec{j} + z \vec{k}) \cdot \vec{N} = 2 \cos^2 \theta - 3 \sin^2 \theta$$

Hence

$$\begin{aligned}
\iint_{S_2} \vec{F} \cdot \vec{n} ds &= \int_0^{2\pi} \int_0^{2+\cos \theta} (2 \cos^2 \theta - 3 \sin^2 \theta) dz d\theta \\
&= \int_0^{2\pi} \int_0^{2+\cos \theta} (2 - 5 \sin^2 \theta) dz d\theta = -2\pi
\end{aligned}$$

Thus we have finally

$$\iint_S \vec{F} \cdot \vec{n} ds = \left( \iint_{S_1} + \iint_{S_2} + \iint_{S_3} \right) \vec{F} \cdot \vec{n} ds = 0 + 2\pi - 2\pi = 0.$$

Remark: Stewart uses the notation

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_S \vec{F} \cdot \vec{n} ds$$

for the surface integral of  $\vec{F}$  over a surface  $S$ . He also calls the  $\iint_S \vec{F} \cdot d\vec{S}$  the **flux** of  $\vec{F}$  over  $S$ .

### Example

Parametrize the surface  $S$  that is the part of the paraboloid



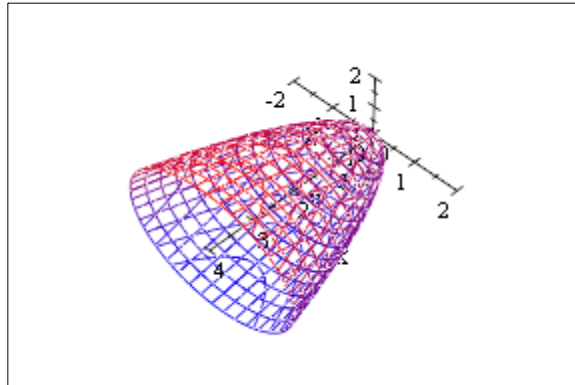
$$x = y^2 + z^2$$

that lies between the planes  $x = 4$  and  $x = 0$ , and give an expression for

$$\iint_S x ds$$

Sketch the surface  $S$ .

*Solution:*



Let

$$y = u \sin v, z = u \cos v, x = u^2$$

where  $0 \leq v \leq 2\pi$ , and  $0 \leq x \leq 4$  implies  $0 \leq u \leq 2$ .

$$\vec{r}(u, v) = u^2 \vec{i} + u \sin v \vec{j} + u \cos v \vec{k}$$

so

$$\vec{r}_u = 2u \vec{i} + \sin v \vec{j} + \cos v \vec{k}$$

$$\vec{r}_v = u \cos v \vec{j} - u \sin v \vec{k}$$

$$\begin{aligned} \vec{r}_u \times \vec{r}_v &= \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 2u & \sin v & \cos v \\ 0 & u \cos v & -u \sin v \end{vmatrix} \\ &= 2\vec{j}u^2 \sin v + 2\vec{k}u^2 \cos v + \vec{i}(-(\sin^2 v)u - (\cos^2 v)u) \\ &= -u\vec{i} + 2u^2(\sin v)\vec{j} + -2u^2 \cos v \vec{k} \end{aligned}$$

:

Thus

$$\begin{aligned} |\vec{r}_u \times \vec{r}_v| &= \sqrt{4u^4 \cos^2 v + 4u^4 \sin^2 v + u^2} \\ &= u\sqrt{4u^2 + 1} \end{aligned}$$

Thus

$$\begin{aligned} \iint_S x ds &= \iint_{0 \leq y^2 + z^2 \leq 4} x |\vec{r}_u \times \vec{r}_v| du dv \\ &= \int_0^{2\pi} \int_0^2 u^2 (u\sqrt{4u^2 + 1}) du dv = \frac{391}{60} \sqrt{17} \pi + \frac{1}{60} \pi \end{aligned}$$

**Example** 5 page 956 in Stewart

Evaluate

$$\iint_S \vec{F} \cdot d\vec{S}$$

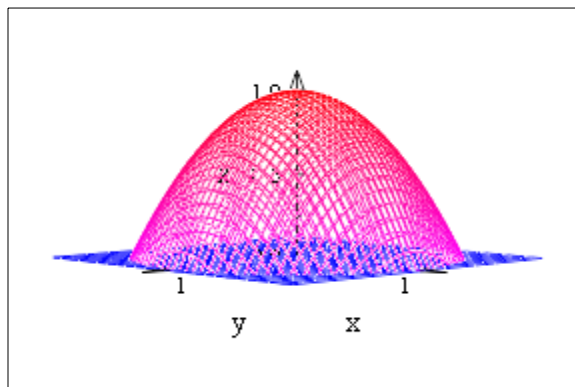
where

$$\vec{F}(x, y, z) = y\vec{i} + x\vec{j} + z\vec{k}$$

and  $S$  is the boundary of the solid region  $E$  enclosed by the paraboloid  $z = 1 - x^2 - y^2$  and the plane  $z = 0$ .

*Solution:*

The graph of the surface is shown below.



The closed surface  $S$  consists of a parabolic top surface  $S_1$  and a circular bottom surface  $S_2$  :  $x^2 + y^2 \leq 1, z = 0$ .

We may parametrize the surface  $S_1$  as

$$x = u, \quad y = v, \quad z = 1 - u^2 - v^2$$

Then

$$\vec{r}(u, v) = u\vec{i} + v\vec{j} + (1 - u^2 - v^2)\vec{k}$$

or

$$\vec{r}(u, v) = (u, v, 1 - u^2 - v^2)$$

Thus

$$\frac{\partial \vec{r}(u, v)}{\partial u} = (1, 0, -2u)$$

$$\frac{\partial \vec{r}(u, v)}{\partial v} = (0, 1, -2v)$$

Hence

$$\vec{r}_u \times \vec{r}_v = (1, 0, -2u) \times (0, 1, -2v) = (2u, 2v, 1) = 2u\vec{i} + 2v\vec{j} + \vec{k}$$

The projection of  $S_1$  onto the  $u, v$ -plane, which in this case is the  $x, y$ -plane, since  $x = u$  and  $y = v$ , is the circle  $D : x^2 + y^2 \leq 1$ . Thus using  $x$  and  $y$  instead of  $u$  and  $v$  we have

$$\begin{aligned}
\iint_{S_1} \vec{F} \cdot d\vec{S} &= \iint_D (y\vec{i} + x\vec{j} + z\vec{k}) \cdot (2x\vec{i} + 2y\vec{j} + \vec{k}) dA \\
&= \iint_D (y\vec{i} + x\vec{j} + (1 - x^2 - y^2)\vec{k}) \cdot (2x\vec{i} + 2y\vec{j} + \vec{k}) dA \\
&= \iint_{x^2+y^2 \leq 1} (4xy + 1 - x^2 - y^2) dA
\end{aligned}$$

Since we are integrating over a circle of radius 1 centered at the origin, we switch to polar coordinates and have

$$\iint_{S_1} \vec{F} \cdot d\vec{S} = \int_0^{2\pi} \int_0^1 (4r^2 \cos\theta \sin\theta + 1 - r^2) r dr d\theta = \frac{1}{2}\pi$$

Now on  $S_2$   $\vec{n} = -\vec{k}$ ,  $z = 0$ , and  $\vec{F}(x, y, z) = y\vec{i} + x\vec{j}$  so that  $\vec{F} \cdot \vec{n} = 0$  and

$$\iint_{S_2} \vec{F} \cdot d\vec{S} = 0$$

Finally

$$\begin{aligned}
\iint_S \vec{F} \cdot d\vec{S} &= \iint_{S_1} \vec{F} \cdot d\vec{S} + \iint_{S_2} \vec{F} \cdot d\vec{S} \\
&= \frac{1}{2}\pi + 0 = \frac{\pi}{2}
\end{aligned}$$

### Example

Let  $S$  be the surface of the solid cylinder  $T$  bounded by  $z = 0$  and  $z = 3$  and  $x^2 + y^2 = 4$ . Evaluate

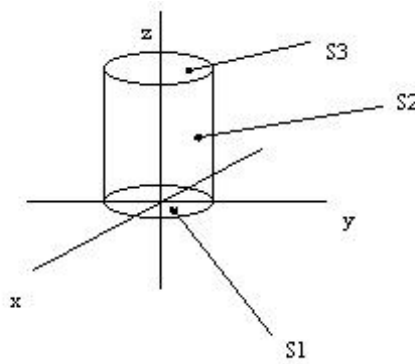
$$\iint_S \vec{F} \cdot \vec{n} dS,$$

where

$$\vec{F} = (x^2 + y^2 + z^2)(x\vec{i} + y\vec{j} + z\vec{k})$$

and  $\vec{n}$  is the outward unit normal. Sketch the surface.

### SOLUTION



$S$  is composed of  $S_1, S_2$ , and  $S_3$ .

On  $S_1$   $\vec{n} = -\vec{k} \Rightarrow$

$$\vec{F} \cdot \vec{n} = -z(x^2 + y^2 + z^2).$$

But  $z = 0$  on  $S_1 \Rightarrow \vec{F} \cdot \vec{n} = 0 \Rightarrow$

$$\iint_{S_1} \vec{F} \cdot \vec{n} ds = 0.$$

On  $S_3$   $z = 3$ ,  $\vec{n} = +\vec{k} \Rightarrow$

$$\vec{F} \cdot \vec{n} = +z(x^2 + y^2 + z^2) = 3(x^2 + y^2 + 9) = 3x^2 + 3y^2 + 27.$$

Since  $S_3$  is a disk of radius 2 we introduce polar coordinates:  $x = r \cos \theta$ ,  $y = r \sin \theta$ ,  $ds = r dr d\theta$  and  $r^2 = x^2 + y^2$  so

$$\iint_{S_3} \vec{F} \cdot \vec{n} ds = \iint_{S_3} (3x^2 + 3y^2 + 27) dx dy = 3 \int_0^{2\pi} \int_0^2 (r^2 + 9) r dr d\theta = 132\pi$$

On  $S_2$  we shall use cylindrical coordinates

$$x = r \cos \theta \quad y = r \sin \theta \quad z = z.$$

Since our cylinder is  $x^2 + y^2 = 4 \Rightarrow r = 2 \Rightarrow$

$$\vec{r} = 2 \cos \theta \vec{i} + 2 \sin \theta \vec{j} + z \vec{k} \quad \text{where } 0 \leq z \leq 3.$$

Taking  $u = \theta$   $v = z$  here, we have

$$\vec{r}_\theta = -2 \sin \theta \vec{i} + 2 \cos \theta \vec{j} \quad \vec{r}_z = \vec{k}$$

$\Rightarrow$

$$\vec{r}_\theta \times \vec{r}_z = 2 \cos \theta \vec{i} + 2 \sin \theta \vec{j}$$

Thus we may use  $\vec{N} = 2 \cos \theta \vec{i} + 2 \sin \theta \vec{j}$  for a normal, since this is outward.

$$\vec{F} = (x^2 + y^2 + z^2)(x \vec{i} + y \vec{j} + z \vec{k})$$

so

$$\vec{F} = (4 \cos^2 \theta + 4 \sin^2 \theta + z^2)(2 \cos \theta \vec{i} + 2 \sin \theta \vec{j} + z \vec{k})$$

Then

$$\begin{aligned} \vec{F} \cdot \vec{N} &= 2(4 + z^2)(2 \cos \theta \vec{i} + 2 \sin \theta \vec{j} + z \vec{k}) \cdot (\cos \theta \vec{i} + \sin \theta \vec{j}) \\ &= 2(4 + z^2)(2 \cos^2 \theta + 2 \sin^2 \theta) = 4(4 + z^2) \end{aligned}$$

Hence

$$\iint_{S_2} \vec{F} \cdot \vec{n} ds = 4 \int_0^{2\pi} \int_0^3 (4 + z^2) dz d\theta = 168\pi$$

Thus we have finally

$$\iint_S \vec{F} \cdot \vec{n} ds = \left( \iint_{S_1} + \iint_{S_2} + \iint_{S_3} \right) \vec{F} \cdot \vec{n} ds = 0 + 132\pi + 168\pi = 300\pi.$$

## Stokes' Theorem and the Divergence Theorem

### Stokes' Theorem:

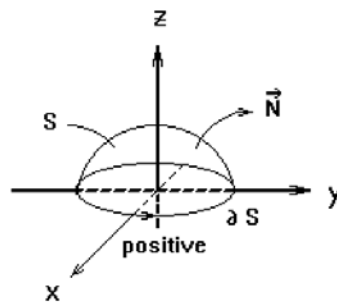
Let  $S$  be a regular surface bounded by a closed curve denoted by  $\partial S$  (boundary of  $S$ ). Let  $\vec{F}$  and  $\text{curl } \vec{F}$  be continuous over  $S$ . Then

$$\iint_S \text{curl } \vec{F} \cdot \vec{n} ds = \iint_S (\vec{\nabla} \times \vec{F}) \cdot \vec{n} ds = \oint_{\partial S} \vec{F} \cdot d\vec{r}$$

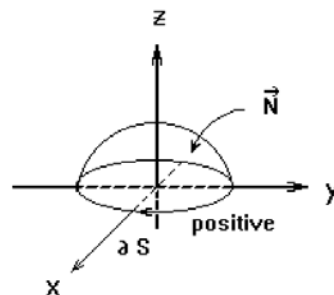
Here the direction of integration around  $\partial S$  is positive with respect to the side of  $S$  on which the normal  $\vec{n}$  is drawn.

Remark:

lin7.pcx



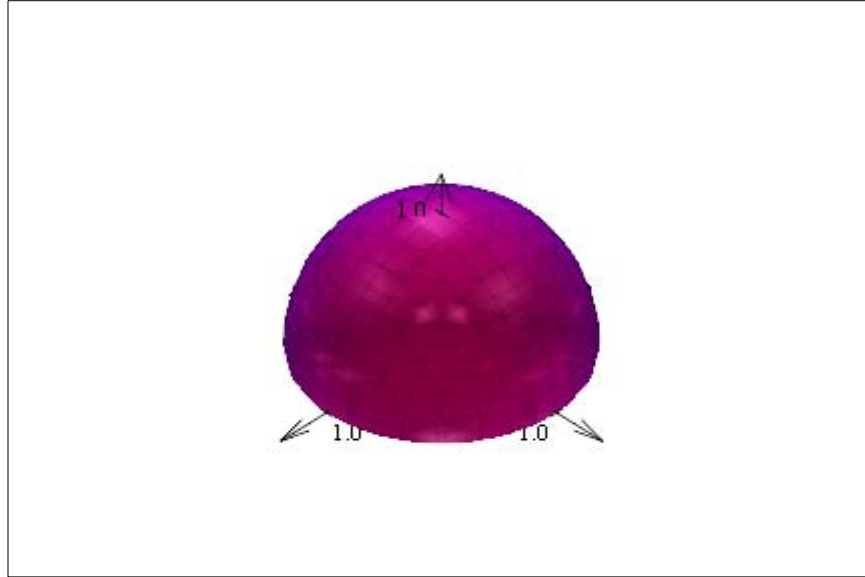
lin8.pcx



### Example

Verify Stokes' Theorem when  $\vec{F} = y\vec{i} + 3z\vec{j} + 3x\vec{k}$  and  $S$  is the hemispheric surface  $z = \sqrt{1 - x^2 - y^2}$ .

$$x^2 + y^2 + z^2 - 1 = 0$$



We shall use the outward normal  $\vec{n}$ . We calculate  $\oint_{\partial S} \vec{F} \cdot d\vec{r}$  first. Now  $\partial S$  is the circle  $x^2 + y^2 = 1$ ,  $z = 0$ . We parametrize this as

$$x = \cos t, \quad y = \sin t, \quad z = 0 \quad 0 \leq t \leq 2\pi$$

$$\vec{F} = \sin t \vec{i} + 0\vec{j} + 3\cos t \vec{k}$$

$$\vec{r}(t) = x\vec{i} + y\vec{j} + z\vec{k} = \cos t \vec{i} + \sin t \vec{j} + 0\vec{k} \Rightarrow \vec{r}'(t) = -\sin t \vec{i} + \cos t \vec{j}$$

Thus

$$\oint_{\partial S} \vec{F} \cdot d\vec{r} = \int_0^{2\pi} -\sin^2 t dt = -\pi.$$

Now consider

$$\iint_S \text{curl} \vec{F} \cdot \vec{n} ds.$$

$$\text{curl} \vec{F} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ y & 3z & 3x \end{vmatrix} = -3\vec{i} - 3\vec{j} - \vec{k}$$

$S$  is the surface  $x^2 + y^2 + z^2 = 1 \quad z \geq 0$ . In spherical coordinates  $\rho = 1 \Rightarrow$

$$x = \sin \phi \cos \theta, \quad y = \sin \phi \sin \theta, \quad z = \cos \phi$$

Let  $u = \phi$   $v = \theta$  and therefore

$$\vec{r}(u,v) = \sin u \cos v \vec{i} + \sin u \sin v \vec{j} + \cos u \vec{k}$$

so that

$$\vec{r}_u \times \vec{r}_v = \sin^2 u \cos v \vec{i} + \sin^2 u \sin v \vec{j} + \sin u \cos u \vec{k}$$

At  $\phi = \frac{\pi}{2}$ ,  $\theta = 0$ , i.e.  $u = \frac{\pi}{2}$   $v = 0 \Rightarrow$

$\vec{r}_u \times \vec{r}_v = \vec{i}$  which is outward. Let  $\vec{N} = \vec{r}_u \times \vec{r}_v$  is outward.

Then

$$\text{curl } \vec{F} \cdot \vec{N} = -3 \sin^2 u \cos v - 3 \sin^2 u \sin v - \sin u \cos u$$

$$\begin{aligned} \iint_S \text{curl } \vec{F} \cdot \vec{N} ds &= - \int_0^{2\pi} \int_0^{\frac{\pi}{2}} (3 \sin^2 u \cos v + 3 \sin^2 u \sin v + \sin u \cos u) du dv \\ &= -3 \int_0^{2\pi} \int_0^{\frac{\pi}{2}} (\cos v + \sin v) \sin^2 u du dv - \int_0^{2\pi} \int_0^{\frac{\pi}{2}} \cos u \sin u du dv \\ &= -\frac{3}{2} \int_0^{2\pi} (\cos v + \sin v) \left[ u - \frac{\sin 2u}{2} \right]_0^{\frac{\pi}{2}} dv - \frac{1}{2} \int_0^{2\pi} dv \\ &= -\frac{3}{2} \left( \frac{\pi}{2} \right) \int_0^{2\pi} [\cos v + \sin v] dv - \pi = -\frac{3\pi}{4} [-\sin v + \cos v]_0^{\frac{\pi}{2}} - \pi = -\pi \end{aligned}$$

as before.

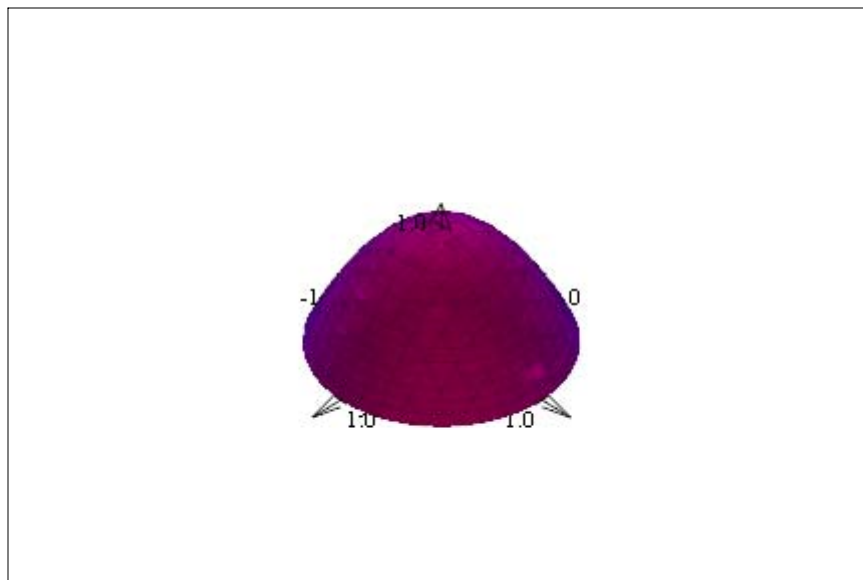
### Example

Verify Stokes' Theorem is true for the vector field

$$\vec{F}(x,y,z) = x^2 \vec{i} + y^2 \vec{j} + z^2 \vec{k}$$

and  $S$  is the part of the paraboloid  $z = 1 - x^2 - y^2$  that lies above the  $x,y$  -plane and  $S$  has upward orientation. Sketch  $S$ .

$$1 - x^2 - y^2 - z = 0$$



We must show

$$\iint_S \text{curl } \vec{F} \cdot \vec{n} ds = \oint_{\partial S} \vec{F} \cdot d\vec{r}$$

$$\text{curl } \vec{F} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ x^2 & y^2 & z^2 \end{vmatrix} = \nabla \times (x^2, y^2, z^2) = (0, 0, 0)$$

Thus

$$\iint_S \text{curl } \vec{F} \cdot \vec{n} ds = 0$$

For the line integral we parametrize the boundary of  $S$ , namely the circle  $x^2 + y^2 = 1$  in the  $x, y$ -plane, as

$$x = \cos t, \quad y = \sin t, \quad z = 0 \quad 0 \leq t \leq 2\pi$$

so

$$\begin{aligned} \vec{r}(t) &= \cos t \vec{i} + \sin t \vec{j} + 0\vec{k} \\ \vec{r}'(t) &= -\sin t \vec{i} + \cos t \vec{j} \\ \vec{F}(t) &= \cos^2 t \vec{i} + \sin^2 t \vec{j} + 0\vec{k} \end{aligned}$$

$$\begin{aligned} \oint_{\partial S} \vec{F} \cdot d\vec{r} &= \int_0^{2\pi} (-\cos^2 t \sin t + \sin^2 t \cos t) dt \\ &= \left[ \frac{\cos^3 t}{3} + \frac{\sin^3 t}{3} \right]_0^{2\pi} = 0 \end{aligned}$$

**Example** Evaluate the surface integral  $\iint_S (\nabla \times \vec{F}) \cdot \vec{n} dS$ , where

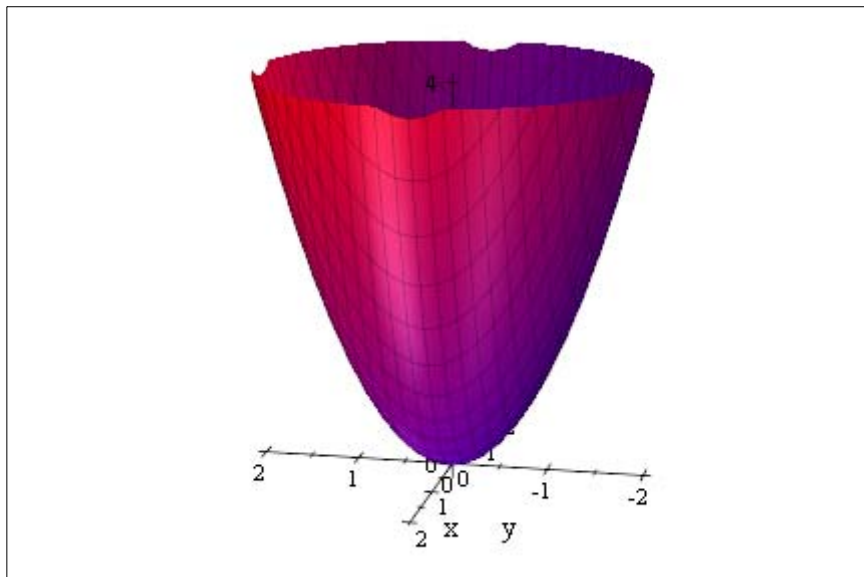
$$\vec{F}(x, y, z) = 3z\vec{i} + 5x\vec{j} - 2y\vec{k}$$

and  $S$  is the part of the parabolic surface  $z = x^2 + y^2$  that lies below the plane  $z = 4$  and whose orientation is given by the upward unit normal vector.

Solution: The surface is shown below:

$$x^2 + y^2$$





We use Stokes' Theorem to evaluate this integral where  $C$  is the circle  $x^2 + y^2 = 4$ ,  $z = 4$ ,  $0 \leq t \leq 2\pi$   
Then

$$\iint_S (\nabla \times \vec{F}) \cdot \vec{n} ds = \oint_{x^2+y^2=4} \vec{F} \cdot d\vec{r}$$

$C$  may be parametrized as  $x = 2 \cos t, y = 2 \sin t, z = 4$ , so  $\vec{r} = 2 \cos t \vec{i} + 2 \sin t \vec{j} + 4 \vec{k}$  and

$$\begin{aligned} \iint_S (\nabla \times \vec{F}) \cdot \vec{n} ds &= \oint_{x^2+y^2=4} \vec{F} \cdot d\vec{r} = \int_0^{2\pi} \vec{F}(t) \cdot \vec{r}'(t) dt = \int_0^{2\pi} (3(4)\vec{i} + 10 \cos t \vec{j} - 4 \sin t \vec{k}) \cdot (-2 \sin t \vec{i} + 2 \cos t \vec{j} + 0 \vec{k}) dt \\ &= \int_0^{2\pi} (-24 \sin t + 20 \cos^2 t) dt = \int_0^{2\pi} (-24 \sin t + 10 + 10 \cos 2t) dt = 20\pi \end{aligned}$$

Alternatively, we can directly compute the surface integral. First we calculate the integrand.

$$\nabla \times \vec{F} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ 3z & 5x & -2y \end{vmatrix} = -2\vec{i} + 3\vec{j} + 5\vec{k}$$

For the surface, we use  $x$  and  $y$  as parameters and have

$$\begin{aligned} \vec{r} &= \langle x, y, x^2 + y^2 \rangle, 0 \leq x^2 + y^2 \leq 4 \\ \vec{r}_x &= \langle 1, 0, 2x \rangle \\ \vec{r}_y &= \langle 0, 1, 2y \rangle \\ \vec{r}_x \times \vec{r}_y &= \langle -2x, -2y, 1 \rangle \end{aligned}$$

Then

$$\begin{aligned}
\iint_S (\nabla \times \vec{F}) \cdot \vec{n} dS &= \iint_D \langle -2, 3, 5 \rangle \cdot \langle -2x, -2y, 1 \rangle dA_{xy} \\
&= \iint_D (4x - 6y + 5) dA_{xy} \\
&= \int_0^{2\pi} \int_0^2 (4r \cos \theta - 6r \sin \theta + 5) r dr d\theta \\
&= 20\pi.
\end{aligned}$$

Here, the integral is changed into polar coordinates, since the region of integration is the disc  $0 \leq x^2 + y^2 \leq 4$ .

### The Divergence Theorem (Gauss's Theorem)

Remark: We shall call a surface *positively oriented* if the normal  $\vec{N}$  is an outer normal; otherwise,  $S$  is *negatively oriented*.

Theorem: Suppose  $S$  is a regular, positively oriented, closed surface, and that  $\vec{F}$  and  $\text{div} \vec{F}$  are continuous over  $S$  and the region  $V$  is enclosed by  $S$ .

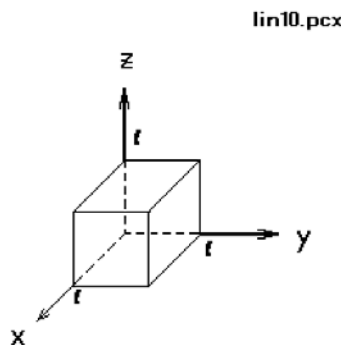
Then

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_S \vec{F} \cdot \vec{n} ds = \iiint_V \text{div} \vec{F} dV = \iiint_V \nabla \cdot \vec{F} dV$$

where  $\vec{n}$  is the *outward* unit normal to  $S$ .

Note:  $\vec{n}$  *must* be outward.

Example: Check the validity of the divergence theorem if  $\vec{F} = x\vec{i} + y\vec{j} + z\vec{k}$ , where  $V$  is the volume of the cube  $0 \leq x, y, z \leq \ell$ .



$$\text{div} \vec{F} = 1 + 1 + 1 = 3.$$

Hence

$$\iiint_V \text{div} \vec{F} dV = 3 \iiint_V dV = 3V = 3\ell^3$$

Now we must calculate  $\iint_S \vec{F} \cdot \vec{n} ds$  over all six faces of the cube. On  $x = \ell$  we use

$$\vec{n} = \vec{i} \Rightarrow \vec{F} \cdot \vec{n} = (\ell \vec{i} + y \vec{j} + z \vec{k}) \cdot \vec{i} = \ell$$

$$\iint_{\text{Face } x=\ell} \vec{F} \cdot \vec{n} ds = \ell \iint_{\text{Face } x=\ell} ds = \ell \times (\text{area of face}) = \ell^3$$

On  $x = 0$   $\vec{F} = y \vec{j} + z \vec{k}$  we may take  $\vec{n} = -\vec{i}$ . Thus  $\vec{F} \cdot \vec{n} = 0$  Thus the contribution from this face is 0.

We get similarly for  $y = \ell$ ,  $\iint_{\text{Face } y=\ell} \vec{F} \cdot \vec{n} ds = \ell^3$ , whereas for  $y = 0$ ,  $\iint_{\text{Face } y=0} \vec{F} \cdot \vec{n} ds = 0$ .

And for the face  $z = \ell$ ,  $\iint_{\text{Face } z=\ell} \vec{F} \cdot \vec{n} ds = \ell^3$  and on  $z = 0$ ,  $\iint_{\text{Face } z=0} \vec{F} \cdot \vec{n} ds = 0$ .

Finally we have

$$\iint_S \vec{F} \cdot \vec{n} ds = \ell^3 + \ell^3 + \ell^3 = 3\ell^3$$

where  $S$  is the entire surface of the cube.

### Example

Verify Gauss's Divergence theorem, namely

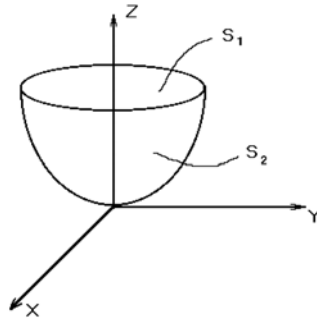
$$\iint_S \vec{F} \cdot \vec{n} ds = \iiint_V \text{div } \vec{F} dV$$

where  $\vec{F} = (x - y + z) \vec{i} + 2x \vec{j} + \vec{k}$  and  $S$  is the closed parabolic bowl consisting of the two pieces

$$S_1 : \text{the circle } x^2 + y^2 \leq 1, \quad z = 1,$$

and

$$S_2 : z = x^2 + y^2; \quad x^2 + y^2 \leq 1$$



Thus  $S_2$  is the bowl proper and  $S_1$  is the circular cap on top. Since  $\vec{\nabla} \cdot \vec{F} = 1 \Rightarrow$

$$\begin{aligned} \iiint_V \vec{\nabla} \cdot \vec{F} dv &= \iiint_V 1 dv = \int_{-1}^1 \int_{-\sqrt{1-x^2}}^{+\sqrt{1-x^2}} \int_{x^2+y^2}^1 dz dy dx \\ &= \int_{-1}^1 \int_{-\sqrt{1-x^2}}^{+\sqrt{1-x^2}} (1-x^2-y^2) dy dx = \int_0^{2\pi} \int_0^1 (1-r^2) r dr d\theta \\ &= \int_0^{2\pi} \left( \frac{r^2}{2} - \frac{r^4}{4} \right) \Big|_0^1 d\theta = \frac{\pi}{2} \end{aligned}$$

We now evaluate

$$\iint_S \vec{F} \cdot \vec{n} ds = \left( \iint_{S_1} + \iint_{S_2} \right) \vec{F} \cdot \vec{n} ds$$

On  $S_2$  we use cylindrical coordinates

$$x = r \cos \theta, \quad y = r \sin \theta \quad z = z$$

$\Rightarrow$

$$x = r \cos \theta, \quad y = r \sin \theta \quad z = x^2 + y^2 = r^2,$$

$$\text{Let } r = u, \quad \theta = v \quad \Rightarrow \quad x = u \cos v, \quad y = u \sin v, \quad z = u^2 \quad 0 \leq u \leq 1 \quad 0 \leq v \leq 2\pi$$

$\Rightarrow$

$$\vec{r}(u, v) = u \cos v \vec{i} + u \sin v \vec{j} + u^2 \vec{k}$$

$$\vec{r}_u = \cos v \vec{i} + \sin v \vec{j} + 2u \vec{k}$$

$$\vec{r}_v = -u \sin v \vec{i} + u \cos v \vec{j}$$

$$\begin{aligned}\vec{r}_u \times \vec{r}_v &= \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \cos v & \sin v & 2u \\ -u \sin v & u \cos v & 0 \end{vmatrix} = -2u^2 \sin v \vec{j} + u \cos^2 v \vec{k} + u \sin^2 v \vec{k} - 2u^2 \cos v \vec{i} \\ &= -2u^2 \cos v \vec{i} - 2u^2 \sin v \vec{j} + u \vec{k}\end{aligned}$$

Note that for  $v = \theta = 0$ ,  $r = u = 1$ , and we have

$$\vec{r}_u \times \vec{r}_v = -2\vec{i} + \vec{k}$$

which is inner.

Therefore we use

$$-\vec{r}_u \times \vec{r}_v = 2u^2 \cos v \vec{i} + 2u^2 \sin v \vec{j} - u \vec{k}$$

$$\vec{F} = (u \cos v - u \sin v + u^2) \vec{i} + 2u \cos v \vec{j} + \vec{k}$$

$\Rightarrow$

$$\vec{F} \cdot \vec{n} = 2u^3 \cos^2 v - 2u^3 \sin v \cos v + 2u^4 \cos v + 4u^3 \sin v \cos v - u$$

Therefore

$$\begin{aligned}\iint_{S_2} \vec{F} \cdot \vec{n} ds &= \int_0^{2\pi} \int_0^1 [2u^3 \cos^2 v + 2u^3 \sin v \cos v + 2u^4 \cos v - u] du dv \\ &= \int_0^{2\pi} \left[ \frac{1}{2} \cos^2 v + \frac{1}{2} \sin v \cos v + \frac{2}{5} \cos v - \frac{1}{2} \right] dv \\ &= \int_0^{2\pi} \left\{ \frac{1}{4} (1 + \cos 2v) \right\} dv + \left[ \frac{1}{4} \sin^2 v + \frac{2}{5} \sin v - \frac{1}{2} v \right]_0^{2\pi} \\ &= \left[ \frac{v}{4} + \frac{\sin 2v}{8} \right]_0^{2\pi} - \pi\end{aligned}$$

Thus

$$\iint_{S_2} \vec{F} \cdot \vec{n} ds = \frac{\pi}{2} - \pi = -\frac{\pi}{2}$$

On  $S_1$ : this is the circle  $x^2 + y^2 \leq 1$ ,  $z = 1$ . We use the parametrization

$$x = r \cos \theta, \quad y = r \sin \theta, \quad z = 1$$

Therefore  $\vec{r}(u, v) = u \cos v \vec{i} + u \sin v \vec{j} + \vec{k}$   $0 \leq u \leq 1$ ,  $0 \leq v \leq 2\pi$

$$\vec{r}_u = \cos v \vec{i} + \sin v \vec{j} \quad \vec{r}_v = -u \sin v \vec{i} + u \cos v \vec{j}$$

$$\vec{r}_u \times \vec{r}_v = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \cos v & \sin v & 0 \\ -u \sin v & u \cos v & 0 \end{vmatrix} = u \cos^2 v \vec{k} + u \sin^2 v \vec{k} = u \vec{k}$$

As expected this is outward since  $0 \leq u \leq 1$ .

$$\vec{F} = (u \cos v - u \sin v + 1) \vec{i} + 2u \cos v \vec{j} + \vec{k}$$

$\Rightarrow$

$$\vec{F} \cdot (\vec{r}_u \times \vec{r}_v) = u$$

$\Rightarrow$

$$\iint_{S_1} \vec{F} \cdot \vec{n} ds = \int_0^{2\pi} \int_0^1 u du dv = \pi$$

So that

$$\iint_{S_2} + \iint_{S_1} = -\frac{\pi}{2} + \pi = \frac{\pi}{2}$$

**Example** Evaluate  $\iint_S \vec{F} \cdot \vec{n} ds$ , where

$$\vec{F}(x, y, z) = x^3 \vec{i} + y^3 \vec{j} + z^3 \vec{k}$$

and  $S$  is the positively oriented surface of the solid bounded by the cylinder  $x^2 + y^2 = 1$  and  $z = 0$  and  $z = 2$  and  $\vec{n}$ .

Use the Divergence Theorem. Then

$$\iint_S \vec{F} \cdot \vec{n} ds = \iiint_V \operatorname{div} \vec{F} dV$$

$$\operatorname{div} \vec{F} = 3(x^2 + y^2 + z^2)$$

$$\begin{aligned} \iiint_V \operatorname{div} \vec{F} dv &= \iiint_V 3(x^2 + y^2 + z^2) dv = 3 \int_0^{2\pi} \int_0^1 \int_0^2 (r^2 + z^2) r dz dr d\theta \\ &= 3 \int_0^{2\pi} \int_0^1 \left( 2r^3 + \frac{8}{3}r \right) dr d\theta = 3 \int_0^{2\pi} \left( \frac{1}{2} + \frac{4}{3} \right) d\theta = 3(2\pi) \frac{11}{6} = 11\pi \end{aligned}$$

**Example** Let  $S$  be the closed surface of the solid cylinder  $T$  bounded by the planes  $z = 0$  and  $z = 3$  and the cylinder  $x^2 + y^2 = 4$ . Calculate the surface integral

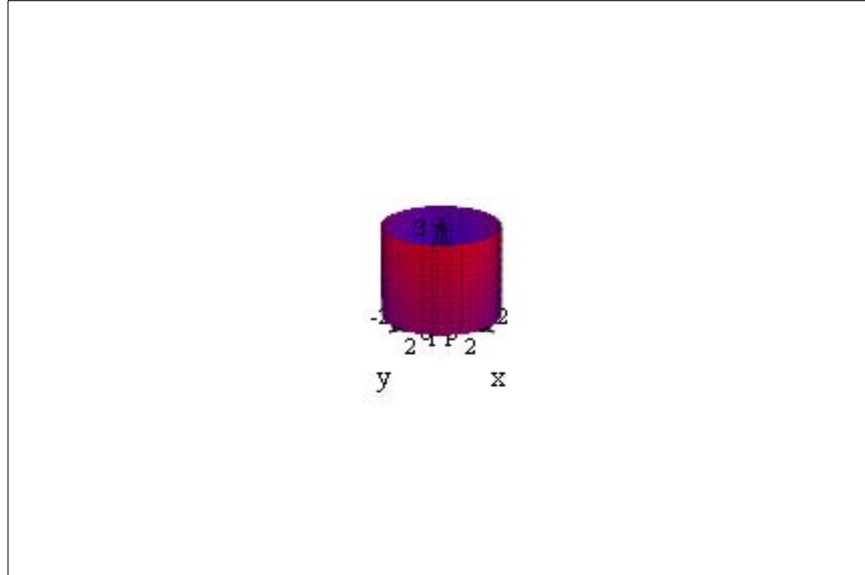
$$\iint_S \vec{F} \cdot \vec{n} dS$$

where

$$\vec{F} = (x^2 + y^2 + z^2)(x\vec{i} + y\vec{j} + z\vec{k})$$

Solution: The surface is

$$x^2 + y^2 - 4 = 0$$



We use the divergence Theorem

$$\iint_S \vec{F} \cdot \vec{n} ds = \iiint_T \nabla \cdot \vec{F} dV$$

$$\vec{F} = (x^2 + y^2 + z^2)(x\vec{i}) + (x^2 + y^2 + z^2)(y\vec{j}) + (x^2 + y^2 + z^2)(z\vec{k})$$

$$\text{div}\vec{F} = 3x^2 + y^2 + z^2 + x^2 + 3y^2 + z^2 + x^2 + y^2 + 3z^2 = 5(x^2 + y^2 + z^2)$$

Then

$$\iint_S \vec{F} \cdot \vec{n} ds = \iiint_T 5(x^2 + y^2 + z^2) dV$$

Using cylindrical coordinates to evaluate the integral we have

$$\iint_S \vec{F} \cdot \vec{n} ds = \iiint_T 5(x^2 + y^2 + z^2) dV = \int_0^{2\pi} \int_0^2 \int_0^3 (5(r^2 + z^2)r) dz dr d\theta = 300\pi$$

As an alternative, we can calculate the surface integral directly. Since the surface,  $S$ , is made up of three components, top (disc), side (cylinder) and bottom (disc), we deal with each components separately and then add the results.

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As an alternative, we can calculate the surface integral directly. Since the surface,  $S$ , is made up of three components, top (disc), side (cylinder) and bottom (disc), we deal with each components separately and then add the results.

(i) On the top,  $z = 3$  and  $\vec{n} = \vec{k}$ . Thus  $\vec{F} = (x^2 + y^2 + 9)(x\vec{i} + y\vec{j} + 3\vec{k})$  and

$$\begin{aligned}
\iint_{top} \vec{F} \cdot \vec{n} ds &= \iint_{x^2+y^2 \leq 4} 3(x^2 + y^2 + 9) dA \\
&= 3 \int_0^{2\pi} \int_0^2 (r^2 + 9) r dr d\theta \\
&= 3 \int_0^{2\pi} \int_0^2 (r^3 + 9r) dr d\theta \\
&= 132\pi.
\end{aligned}$$

(ii) On the bottom,  $z = 0$  and  $\vec{n} = -\vec{k}$ . (Remember, we must use the outward normal.) Thus  $\vec{F} = (x^2 + y^2)(x\vec{i} + y\vec{j} + 0\vec{k})$  and

$$\vec{F} \cdot \vec{n} = 0.$$

Hence,

$$\iint_{bottom} \vec{F} \cdot \vec{n} ds = 0.$$

(iii) On the side, we use cylindrical coordinates to parametrize with  $r = 2$ . So, we have

$$\begin{aligned}
\vec{r} &= 2 \cos \theta \vec{i} + 2 \sin \theta \vec{j} + z \vec{k} \\
\vec{r}_\theta &= -2 \sin \theta \vec{i} + 2 \cos \theta \vec{j} \\
\vec{r}_z &= \vec{k} \\
\vec{r}_\theta \times \vec{r}_z &= -2 \sin \theta \vec{i} \times \vec{k} + 2 \cos \theta \vec{j} \times \vec{k} \\
&= 2 \sin \theta \vec{j} + 2 \cos \theta \vec{i}
\end{aligned}$$

Before proceeding, we check that we have the correct (outward) normal. This is OK, so we move to the integrand. On the surface, we have

$$\begin{aligned}
\vec{F} &= (4 + z^2)(2 \cos \theta \vec{i} + 2 \sin \theta \vec{j} + z \vec{k}) \\
\vec{F} \cdot (\vec{r}_\theta \times \vec{r}_z) &= (4 + z^2)(4 \cos^2 \theta + 4 \sin^2 \theta) \\
&= 4(4 + z^2)
\end{aligned}$$

Thus,

$$\begin{aligned}
\iint_{side} \vec{F} \cdot \vec{n} ds &= \int_0^3 \int_0^{2\pi} 4(4 + z^2) d\theta dz \\
&= 168\pi
\end{aligned}$$

Finally,

$$\begin{aligned}
\iint_S \vec{F} \cdot \vec{n} ds &= \iint_{top} \vec{F} \cdot \vec{n} ds + \iint_{side} \vec{F} \cdot \vec{n} ds + \iint_{bottom} \vec{F} \cdot \vec{n} ds \\
&= 132\pi + 168\pi + 0 = 300\pi
\end{aligned}$$

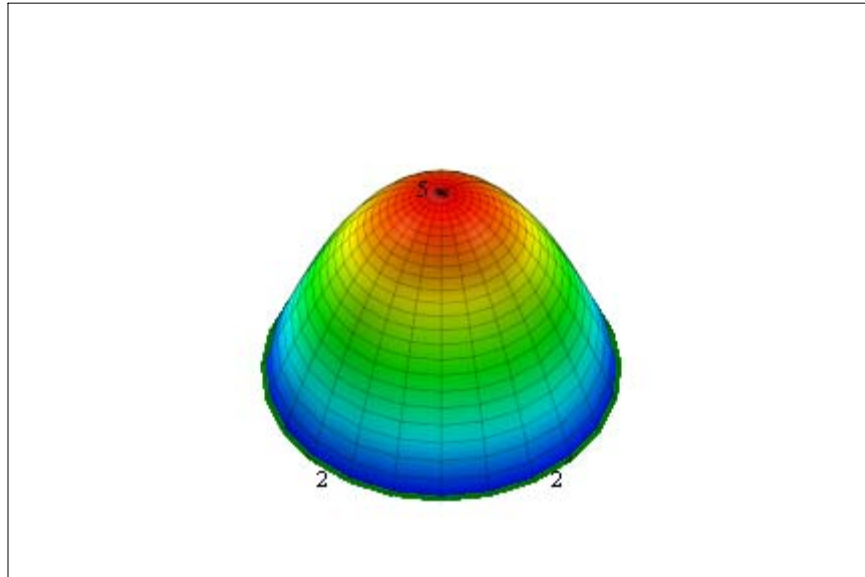


## Ma227 Additional Problems

### Green's, Stokes' and the Divergence Theorems

**Example** Use Stokes' Theorem to evaluate  $\iint_S (\nabla \times \vec{F}) \cdot \vec{n} dS$  where  $\vec{F} = z^2\vec{i} - 3x\vec{j} + x^3y^3\vec{k}$  and  $S$  is the part of the surface  $z = 5 - x^2 - y^2$  above the plane  $z = 1$ . Assume that  $S$  oriented upwards. Sketch  $S$ .

Solution:  $(r, \theta, 5 - r^2)$



Stokes' Theorem is

$$\iint_S (\nabla \times \vec{F}) \cdot \vec{n} dS = \oint_C \vec{F} \cdot d\vec{r}$$

Now the boundary  $C$  of  $S$  will be where the surface intersects  $z = 1$ , that is, when  $1 = 5 - x^2 - y^2$  or  $x^2 + y^2 = 4$ . Thus

$$C : x = 2 \cos t, y = 2 \sin t; 0 \leq t \leq 2\pi; z = 1$$

and

$$\vec{F} = z^2\vec{i} - 3x\vec{j} + x^3y^3\vec{k}$$

$$\vec{r}(t) = 2 \cos t \vec{i} + 2 \sin t \vec{j} + \vec{k}$$

$$\vec{F}(t) = (1)^2\vec{i} - 3(2) \cos t \vec{j} + (2 \cos t)^3 (2 \sin t)^3 \vec{k}$$

Then

$$\vec{r}'(t) = -2 \sin t \vec{i} + 2 \cos t \vec{j}$$

and

$$\vec{F}(t) \cdot \vec{r}'(t) = -2 \sin t - 12 \cos^2 t$$

Thus

$$\oint_C \vec{F} \cdot d\vec{r} = \int_0^{2\pi} \vec{F}(t) \cdot \vec{r}'(t) dt = \int_0^{2\pi} (-2 \sin t - 12 \cos^2 t) dt = [2 \cos t - 6(\cos t \sin t + t)]_0^{2\pi} = -12\pi$$

**Example** Verify Green's theorem for the line integral

$$\oint_C (x+y)dx + (x-y)dy$$

where  $C$  is the positively oriented unit circle centered at the origin.

Solution: A parametrization of  $C$  is  $x = \cos t, y = \sin t$   $0 \leq t \leq 2\pi$ . Thus

$$\begin{aligned} \oint_C (x+y)dx + (x-y)dy &= \int_0^{2\pi} [(\cos t + \sin t)(-\sin t) + (\cos t - \sin t)(\cos t)] dt \\ &= \int_0^{2\pi} (-2 \sin t \cos t - \sin^2 t + \cos^2 t) dt \\ &= \left[ -2 \sin^2 t + \frac{1}{2} \cos t \sin t - \frac{1}{2} t + \frac{1}{2} \cos t \sin t + \frac{1}{2} t \right]_0^{2\pi} = 0 \end{aligned}$$

Here  $P = x + y$  and  $Q = x - y$  so

$$\iint_{x^2+y^2 \leq 1} (Q_x - P_y) dA = \iint_{x^2+y^2 \leq 1} [1 - 1] dA = 0$$

**Example** Evaluate the surface integral

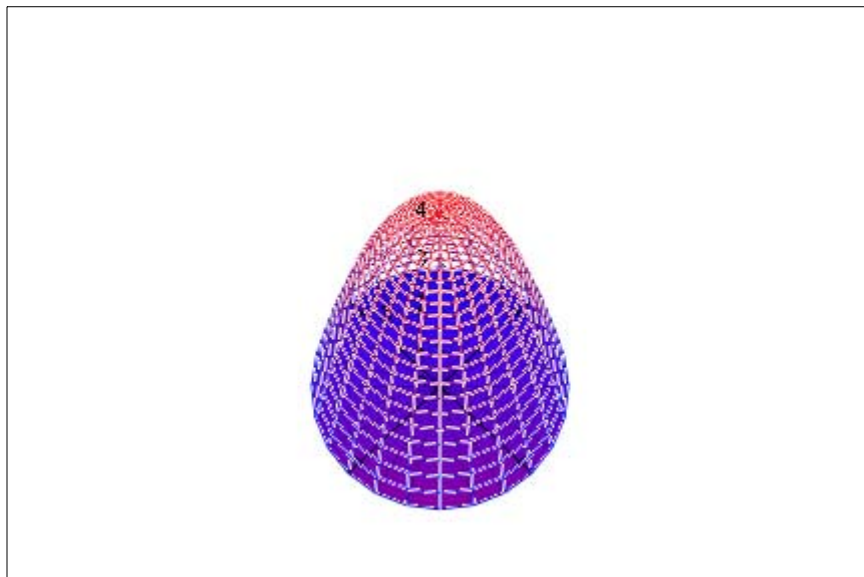
$$\iint_S \vec{F} \cdot \vec{n} dS$$

where

$$\vec{F} = \left( xy\vec{i} - \frac{1}{2}y^2\vec{j} + z\vec{k} \right)$$

and the closed surface  $S$  consists of the two surfaces  $z = 4 - 3x^2 - 3y^2$ ,  $0 \leq z \leq 4$  on the top on the top with normal upward, and  $z = 0$  on the bottom with normal downward.

Solution:  $(r, \theta, 4 - 3r^2)$



We use the divergence theorem, namely

$$\iint_S \vec{F} \cdot \vec{n} dS = \iiint_E \nabla \cdot \vec{F} dV$$

where  $E$  is the volume enclosed by  $S$ .

$$\nabla \cdot \vec{F} = y - y + 1 = 1$$

Note that  $z = 0 \Rightarrow x^2 + y^2 = \frac{4}{3}$ . Using cylindrical coordinates we have  $0 \leq z \leq 4 - 3r^2$ ,  $0 \leq r \leq \frac{2}{\sqrt{3}}$ , and  $0 \leq \theta \leq 2\pi$

$$\begin{aligned} \iiint_E \nabla \cdot \vec{F} dV &= \int_0^{2\pi} \int_0^{\frac{2}{\sqrt{3}}} \int_0^{4-3r^2} (1) r dz dr d\theta = \int_0^{2\pi} \int_0^{\frac{2}{\sqrt{3}}} (4r - 3r^3) dr d\theta = \int_0^{2\pi} \left[ 2r^2 - \frac{3}{4} r^4 \right]_0^{\frac{2}{\sqrt{3}}} d\theta \\ &= \int_0^{2\pi} \left[ 2 \left( \frac{4}{3} \right) - \left( \frac{3}{4} \right) \left( \frac{16}{9} \right) \right] d\theta = \int_0^{2\pi} \left( \frac{4}{3} \right) d\theta = \frac{8\pi}{3} \end{aligned}$$

Alternatively, we will calculate the surface integral directly. Let  $S_1$  denote the portion of the paraboloid on top and  $S_2$  denote the disc on the bottom.

For  $S_1$  we parametrize the surface using  $x$  and  $y$  as the parameters. Thus

$$\begin{aligned} \vec{r}(x, y) &= \langle x, y, 4 - 3(x^2 + y^2) \rangle \\ \vec{r}_x(x, y) &= \langle 1, 0, -6x \rangle \\ \vec{r}_y(x, y) &= \langle 0, 1, -6y \rangle \end{aligned}$$

The domain of  $\vec{r}(x, y)$  is the disc  $D = \{(x, y) | 0 \leq x^2 + y^2 \leq \frac{4}{3}\}$ .

Then,

$$\vec{r}_x \times \vec{r}_y = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 1 & 0 & -6x \\ 0 & 1 & -6y \end{vmatrix} = 6x\vec{i} + 6y\vec{j} + \vec{k}$$

We observe that the z component is positive, so we have the correct orientation of the normal.

$$\begin{aligned} \iint_{S_1} \vec{F} \cdot \vec{n} dS &= \iint_D \left\langle xy, -\frac{1}{2}y^2, 4 - 3(x^2 + y^2) \right\rangle \cdot \langle 6x, 6y, 1 \rangle dA_{xy} \\ &= \iint_D [6x^2y - 3y^3 + 4 - 3(x^2 + y^2)] dA_{xy} \\ &= \int_0^{2\pi} \int_0^{\frac{2}{\sqrt{3}}} [6r^3 \cos^2\theta \sin\theta - 3r^3 \sin^3\theta + 4 - 3r^2] r dr d\theta \\ &= \int_0^{2\pi} \int_0^{\frac{2}{\sqrt{3}}} [6r^4 \cos^2\theta \sin\theta - 3r^4 \sin^3\theta + 4r - 3r^3] dr d\theta \\ &= \int_0^{2\pi} \left[ \frac{6}{5} r^5 \cos^2\theta \sin\theta - \frac{3}{4} r^5 \sin^3\theta + 2r^2 - \frac{3}{4} r^4 \right] \Big|_{r=0}^{r=\frac{2}{\sqrt{3}}} d\theta \\ &= \int_0^{2\pi} \left[ \frac{6}{5} \left( \frac{2}{\sqrt{3}} \right)^5 \cos^2\theta \sin\theta - \frac{3}{4} \left( \frac{2}{\sqrt{3}} \right)^5 \sin^3\theta + 2\frac{4}{3} - \frac{3}{4} \frac{16}{9} \right] d\theta \\ &= \frac{6}{5} \left( \frac{2}{\sqrt{3}} \right)^5 \left[ \frac{-\cos^3\theta}{3} \right]_{\theta=0}^{\theta=2\pi} - \frac{3}{4} \left( \frac{2}{\sqrt{3}} \right)^5 \left[ \frac{-\sin^2\theta \cos\theta - 2\cos\theta}{3} \right]_{\theta=0}^{\theta=2\pi} + \frac{4}{3} 2\pi \\ &= \frac{8\pi}{3} \end{aligned}$$

For  $S_2$ , we also parametrize using  $x$  and  $y$ , but now  $z = 0$  so it's much simpler.

$$\begin{aligned} \vec{r}(x, y) &= \langle x, y, 0 \rangle \\ \vec{r}_x(x, y) &= \langle 1, 0, 0 \rangle = \vec{i} \\ \vec{r}_y(x, y) &= \langle 0, 1, 0 \rangle = \vec{j} \end{aligned}$$

The domain is the same disc,  $D$ , as for  $S_1$ .

$$\vec{r}_x \times \vec{r}_y = \vec{i} \times \vec{j} = \vec{k}$$

We observe that this vector points upward and we need the downward normal, so we use the negative and have

$$\begin{aligned} \iint_{S_2} \vec{F} \cdot \vec{n} dS &= \iint_D \left\langle xy, -\frac{1}{2}y^2, 0 \right\rangle \cdot \langle 0, 0, -1 \rangle dA_{xy} \\ &= 0 \end{aligned}$$

Finally

$$\begin{aligned}\iint_S \vec{F} \cdot \vec{n} dS &= \iint_{S_1} \vec{F} \cdot \vec{n} dS + \iint_{S_2} \vec{F} \cdot \vec{n} dS \\ &= \frac{8\pi}{3} + 0\end{aligned}$$